

# 4

Commissioner for Patents Washington, DC 2023

Date Mailed: 10/28/2002

APPLICATION NUMBER

Morrison & Foerster LLP

Robert K. Cerpa

Suite 500

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/087,190

02/28/2002

Pia M. Challita-Eid

511582003420

**CONFIRMATION NO. 7796** 

FORMALITIES LETTER

\*OC000000009018633

3811 Valley Centre Drive San Diego, CA 92130

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/31/2003 HBLANCO 00000009 031952 10087190

01 FC:2001 02 FC:2202 03 FC:2051 AAAAAAA A2133

513.00 CH 65.00 CH FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) 10 & 11.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention
  is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May



15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

## Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

Additional claim fees of \$513 as a small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are
due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$948 for a Small Entity

- \$370 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$513
  - \$513 for 57 total claims over 20 .

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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plus sign (+) inside this box →

**Total Number Of Pages In This Submission** 

PTO/SB/21 (08-00) Approved for use through 10/31/02. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

binder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/087,190 **Filing Date** 28 February 2002 **First Named Inventor** Pia M. CHALLITA-EID, et al. 3749 **Group Art Unit Examiner Name** To be assigned Attorney Docket No. 511582003420

ENCLOSURES (check all that apply)							
Fee Transmittal Form		Assignment Papers		After Allowance Communication to Group			
Fee Attache	d	<b>★</b> Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition		Proprietary Information			
<b>★</b> Affidavits/de	eclarations	Petition to Convert to a Provisional Application		Status Letter			
Extension of Time F	Reallest	Change of Correspondence Addre	ess 🗶	Other Enclosure(s) (please identify below):			
Extension of Time Nequest		Terminal Disclaimer	×	Return Postcard Paper Copy of Sequence Listing			
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Express Abandonment Request		Request for Refund	×	Copy of Notice to File Missing Parts			
Information Disclosure Statement, PTO Form 1449, References		CD, Number of CD(s)_1	E	Marked-up Substitute Specification as Filed w/drawings Substitute Specification w/drawings (clean)			
Certified Copy of Priority Document(s)		Remarks					
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 C.F.R. 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT							
Firm	Kate H. Murashige Reg. No. 29,959						
or	Morrison & Foerster LLP						
Individual Name							
Signature	Tata W.	. Muadezi					
Date January 28, 2003							

CERTIF	-ICATE	OF I	MAILING	RA.	EXPRESS I	VIAIL"

Express Mail Label No.: EV 143875517 US

Date of Deposit: January 28, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, Box Missing Parts.

Tami M. Procopio

'am

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DQMOT SENG-FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Issue Fee, Washington, DC 20231.

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			Examiner	Name	To be a	assigned		
Patent fees are subject to annual revision.	Group Art Unit		Unit	3749				
TOTAL AMOUNT OF PAYMENT	(\$) 1008.00 Attorney		Attorney	ttorney Docket No. 511582003420		•		
METHOD OF PAYMENT	FEE CALCULATION (continued)							
1. The Commissioner is hereby authorized to charge indicated	3. ADDITIONAL FEES							
fees and credit any overpayments to:  Deposit Account Number	Large Fee	Entity Fee	Fee	Fee				Fee Paid
Deposit	Code	(\$)	Code	(\$)	Fee L	Description		
Account Name Morrison & Foerster LLP	105	130	205	65	Surch	arge - late filing fee	e or oath	65
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25		arge - late provisio cover sheet	onal filing	
Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130		inglish specification	n .	
2. Payment Enclosed:	147	2,520	147	2,520		ing a request for ex	x parte	
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	112	920*	112	920*	Reque	mination esting publication o iminer action	of SIR prior	
FEE CALCULATION	113	1,840*	113	1,840*	Reque	esting publication of	of SIR after	
1. BASIC FILING FEE	115	110	215	55		iner action sion for reply withir	n first month	55
	116	400	216	200	Extens	sion for reply within		
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee	117	920	217	460	month Extensions	sion for reply within	n third	
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101 740 201 370 Utility filing fee 375	128	1,960	228	980		sion for reply withir	n fifth month	
106 330 206 165 Design filing fee	119	320	219	160		of Appeal		
107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee	120 121	320 280	220 221	160 140	-	a brief in support on est for oral hearing		I
114 160 214 80 Provisional filing fee	138	1,510	138	1,510	Petitio	n to institute a pub		
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2. EXTRA CLAIM FEES	142	1,280	242	640	Utility	issue fee (or reissi	⊔e)	
Extra Fee from Fee Paid Claims below	143	460	243	230	Design	n issue fee		
Total Claims 77 - 20** = 57 x 9 = \$513	144	620	244	310	Plant i	ssue fee		
Claims 3 -3** = x 42 = \$	122	130	122	130	Petitio	ns of the Commiss	sioner	<u> </u>
Multiple Dependent 140 = \$	123	50	123	50	applica		11"	
	126	180	126	180	Disclo	ssion of Informatio sure Stmt ding each patent a		
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40		operties (times nun		
103 18 203 9 Claims in excess of 20	146	740	246	370	rejection	a submission after on FR § 1.129(a))	final	
102 84 202 42 Independent claims in excess of 3	149	740	249	370		ich additional inver ned (37 CFR § 1.1)		
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109 84 209 42 **Reissue independent claims over	169	900	169	900	Reque	st for expedited ex	camination	<del>                                     </del>
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SUBTOTAL (2) (\$) 513.00	Other fee	(specify)					<u>.</u>	
** or number previously paid, if greater; For reissues, see above.	*Reduced	*Reduced by Basic Filing Fee Paid			SUBTOTAL	(3) (\$)	120.00	
SUBMITTED BY		Complete (if applicable)				==		
Name (Print/Type) Kate H. Murashige		stration N		29,959		Telephone	(858) 720	-5112
Tate (), windshipe	(Atto	rney/Age	nt)	20,000		reispiione	(000) 120	-0114

Mund WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

January 28, 2003

Signature